

Barron's Top 1,200 Financial Advisors Financial Times Top 400 Advisors



RBC Wealth Management has a long-standing tradition of responsible stewardship of client assets. It is a deeply held value you can always depend on. One of Arizona's very own, Allan Flader, is an outstanding example of this — someone who puts the client's needs first as he works to manage their wealth. Therefore, it gives us great pleasure to make you aware of some highly prestigious honors that he has recently received.

Allan was recently named to the list of the nation's "Top 1,200 Financial Advisors" in the March 6, 2017 issue of *Barron's*, a national financial newspaper published by Dow Jones & Company. The nominees for the list are ranked based on several factors which include; quality of practice, revenues generated and assets under management. Out of thousands of nominees, the 1,200 recipients of the award represents approximately less than one half percent of all advisors in the industry.

In addition, Allan was also recently included in the *Financial Times* Top 400 Advisors list. The advisors on this list represent an elite group at national, independent and regional broker-dealers which represents less than 1% of all financial advisors registered with FINRA in 2017.

When it comes to our standards for excellence, Allan is a role model who raises the bar we all aim for. Please join us in applauding his achievements and thank you for choosing RBC Wealth Management to help you prepare for your financial future.

Michael Armstrong
CEO, RBC Wealth Management – U.S.

Tom Sagissor
President, RBC Wealth Management – U.S.



Top
**Financial
Advisors**
2017



**Wealth
Management**

The Barron's Top 1200 Financial Advisors Award is based on the following criteria: The individual is credentialed as a FINRA registered representative, assets under management, revenue produced for the firm, regulatory and compliance record. The financial advisor does not pay a fee to be considered for or to receive this award. This award does not evaluate the quality of services provided to clients. This is not indicative of this financial advisor's future performance

In 2017, the Financial Times Top 400 Award was based on information gathered regarding 1,500 financial advisors, of which 26% received the award. This represents less than 1% of all financial advisors registered with FINRA in 2017. The award is based on the following criteria: The individual is a FINRA registered representative, assets under management, revenue produced for the firm, experience and professional designations. This award does not evaluate the quality of services provided to clients and is not indicative of this advisor's future performance. The financial advisor does not pay a fee to be considered for or to receive this award.

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